

SAFEMED III

Seminar on Flag State issues

Monitoring ROs by the flag State

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Monitoring ROs by the Flag State

Content

Monitoring by the FS and EMSA audits to EU ROs

Periodicity

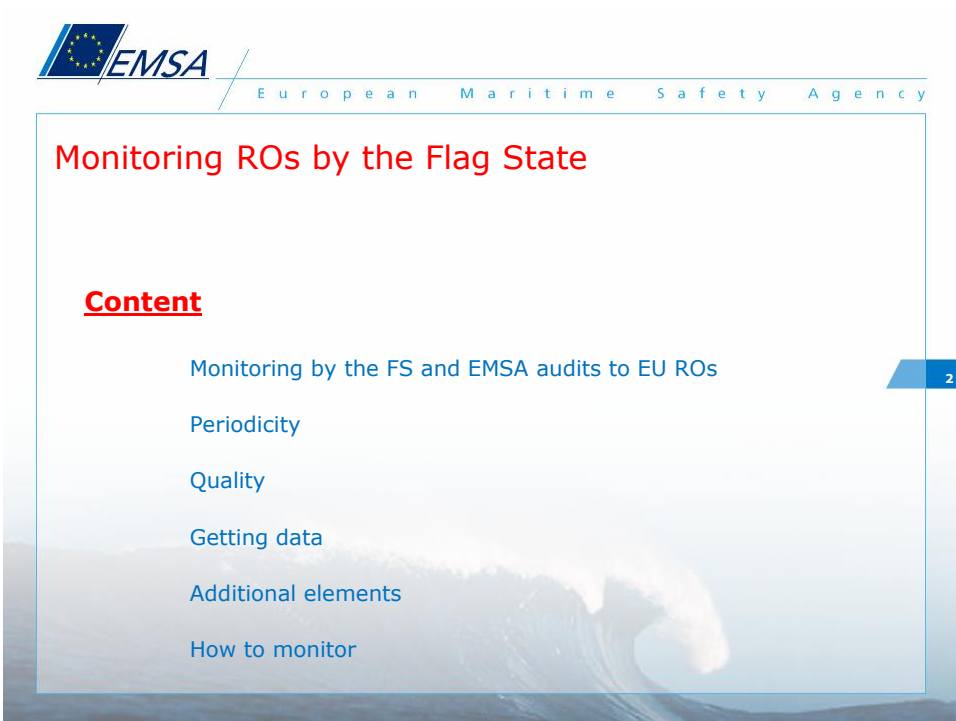
Quality

Getting data

Additional elements

How to monitor

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Monitoring ROs by flag State

Two different levels

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1. Has the RO adequate resources? Compliance with criteria set up by (*IMO or Regulation EU 391/2009*)
2. Compliance with:
 - a) the proper implementation of the agreement between administration and RO
 - b) provisions of conventions
 - c) national provisions (i.e. interpretations where permitted by the conventions, instructions, etc.)

Monitoring ROs by flag State

Periodicity

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Authorized recognized organizations to be monitored at least every two years (Head offices – Branches?)

IMO informed about the outcome of the monitoring?

Monitoring ROs by flag State

Quality

Under flag State directive a quality system according, as minimum, to the ISO standard 9001:2008 for the operational part of the flag State-related activities

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Operational part covers all the activities of the administrations related to inspection, survey and certification of ships and staff (Bunkers and CLC conventions not included)

WHAT ABOUT MONITORING OF ROs?

Not directly certifying ships and crew, however

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Data for monitoring

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By monitoring the fleet performance including those on domestic and international voyages

- Oversight programme of inspections
- Monitoring of PSC regional agreements' databases
- Information received by the ROs

However.....

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Data for monitoring

Has your administration a policy, objectives and suitable procedures in place for implementing such measures?

Are such procedures implemented?

How the outcome of the fleet monitoring is then used for monitoring the ROS?

Staff involved is sufficient and are they adequately qualified?

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Data for monitoring

If an oversight programme of inspections is established, is the body implementing such "oversight programme" communicating with those monitoring the ROs?

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Data for monitoring

The body monitoring ROs receives (is informed about) survey reports and certificates concerning all national vessels?

Are such reports and certificates analysed? Are there procedures in place?

Have such body sufficient expertise for such analysis?

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Data for monitoring

The body monitoring ROs is informed of the measures taken by PSCOs against national vessels?

Through relevant databases

By those in charge for being notified about detentions

Has the body sufficient expertise to evaluate the reports?

Has it the possibility to provide for oversight occasional surveys?

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Data for monitoring

Has the administration established criteria to identify "ships not substantially meeting the requirements"?

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Have ROs been informed about such criteria?

Is the body monitoring ROs, directly notified by ROs about ships not substantially meeting the requirements? Or it is informed through other national bodies?

Is this body able to evaluate the information?
Has it the possibility to provide for oversight occasional surveys?

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How to monitor

If all that is in place it will be very helpful for verifying if ROs work satisfactorily and if the provisions of the conventions are complied with

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Is it enough?

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Additional elements

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Has your administration defined "satisfaction" when so required by the conventions?

It is a huge workloadhow the administrations can deal with it?

[Rulecheck](#)

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Additional elements

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Have you instructed ROs on how to deal with detentions and even with deficiencies detected by the port State?

Has the ship always to be brought in full compliance with conventions?

If not, who decides on the provisional safety measures to be taken?
(Standing instructions or instructions on case by case basis?)

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Additional elements (Compliance with EU legislation)

Reg EC 391/2009

Puts obligations on Losing and Receiving ROs

To be monitored

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How to monitor

Select the office or offices to be audited

Plan the audit

Choose files to be examined based on the information collected since the previous audit plus a spot check

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How to monitor

Is the compliance with criteria set up by Regulation EC 391/2009 relevant?

Yes However it is regularly checked by the EMSA on behalf of the Commission You can avoid duplication

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How to monitor

By examining the files selected, establish if:

- The provisions of the conventions
- The interpretation of the administration
- The instructions of the administration about its satisfaction
- The instructions of the administrations about the detention or deficiencies detected under PSC regimes

ARE COMPLIED WITH

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How to monitor

Be also satisfied with the activity of each single surveyor of ROs involved in the inspections or surveys examined

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Monitored by the EMSA but not a duplication because files selected are not the same

Is it the only case where the surveyor is involved?

Has he/she sufficient skills and training according to the IMO resolution A.789(18)?

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ANY QUESTION?

**THANK YOU FOR
LISTENING**

